William E. Thro 1 General Counsel 2 Office of Legal Counsel University of Kentucky 301 Main Building 3 410 Administration Drive Lexington, Kentucky 40506-0032 4 (859) 257-2936 5 Attorney for University of Kentucky 6 7 UNITED STATES DISTRICT COURT 8 NORTHERN DISTRICT OF CALIFORNIA 9 OAKLAND DIVISION 10 IN RE COLLEGE ATHLETE NIL Case No. 4:20-cv-03919-CW 11 LITIGATION DECLARATION OF UNIVERSITY OF 12 KENTUCKY IN SUPPORT OF THE PARTIES' ADMINISTRATIVE MOTIONS 13 TO CONSIDER WHETHER MATERIAL 14 SHOULD BE SEALED 15 16 I, William E. Thro, declare that the following is true: 17 18 1. I am the General Counsel of the University of Kentucky. The University of 19 Kentucky is a member of the Southeastern Conference, which is a defendant in this action. I 20 make this declaration of my own personal knowledge and, if called on to do so, could testify 21 competently to the facts stated herein under oath. 22 2.. I am informed that the Defendants in this matter are seeking to file under seal 23 limited portions of a document that references highly confidential and competitively sensitive 24 information belonging to the University of Kentucky. Specifically, on September 25, 2023, the 25 26 Defendants in this matter filed a Supplemental Motion for Leave to Submit Additional 27 Authority in Support of Defendants' Opposition to Class Certification (ECF No. 332) (the 28 "Motion"), as well as an accompanying Administrative Motion to Consider Whether Plaintiffs'

Material Should Be Sealed in Support of Defendants' Supplemental Motion for Leave to Submit Additional Authority in Support of Defendants' Opposition to Class Certification (ECF No. 333) (the "Defendants' Administrative Motion"). The University of Kentucky was served with a copy of the Motion and was informed of the procedures set forth in Civil Local Rule 79-5.

- 3. I have also been informed that the Plaintiffs in this matter filed a Response to Defendants' Supplemental Motion for Leave to Submit Additional Authority in Support of Opposition to Class Certification (ECF No. 335) (the "Response"), as well as an accompanying Administrative Motion to File Under Seal Portions of Plaintiffs' Response to Defendants' Supplemental Motion for Leave to Submit Additional Authority in Support of Defendants' Opposition to Class Certification (ECF No. 336) (the "Plaintiffs' Administrative Motion"). Counsel for the Southeastern Conference provided me with a copy of the Response and Plaintiffs' Administrative Motion. I understand that the Response makes reference to and the Plaintiffs' Administrative Motion seeks to seal the same highly confidential and competitively sensitive information belonging to the University of Kentucky that is referenced in the Motion.
- 4. I make this declaration in support of the sealing of certain confidential information produced by the University of Kentucky pursuant to Local Rule 79-5(c) and (f)(3) of the U.S. District Court for the Northern District of California and included in both the Motion and the Response.
- 5. On September 22, 2021, Plaintiffs in this action served the University of Kentucky with a subpoena seeking, in part, data and documents reflecting the terms of agreements that student-athletes at the University of Kentucky have entered with third parties related to the commercial use of their name, image, or likeness ("NIL") or commercial activity related to their NIL, including the financial terms of those agreements.

- 6. On March 28, 2022, the University of Kentucky complied with the subpoena by producing documents that contained the terms, including financial terms, of NIL agreements for individual student-athletes. These documents were produced to Plaintiffs with the understanding that they would be kept confidential pursuant to the Stipulation and Protective Order entered in this action. The University of Kentucky marked these documents as Highly Confidential Counsel Only.
- 7. I understand that a party seeking to file a document under seal must "establish that a document, or portions thereof, are sealable." Civil L.R. 79-5(c). In connection with class certification motions, I understand that a designating party must demonstrate that "compelling reasons" exist to protect the information from being disclosed. *Kamakana v. City & Cnty. of Honolulu*, 447 F.3d 1172, 1178-79 (9th Cir. 2006); *IntegrityMessageBoards.com v. Facebook, Inc.*, No. 18-cv-05286-PJH, 2021 WL 3771785, at *21 (N.D. Cal. Aug. 24, 2021). Redactions, instead of complete removal, can be used to place "limited and clear" portions of information outside the public record. *Kamakana*, 447 F.3d at 1183.
- 8. The University of Kentucky requests that the following portions of the Defendants' Motion and the Plaintiffs' Response containing the University of Kentucky's confidential information be maintained and filed under seal and can demonstrate that compelling reasons exist to maintain these narrowly tailored redactions under seal:

	Citation	Compelling Reason(s) for Sealing
1.	ECF No. 332, Defendants'	To protect highly confidential and
	Supplemental Motion for Leave to	competitively sensitive information
	Submit Additional Authority in	pertaining to the financial value of
	Support of Defendants' Opposition	confidential NIL agreements reported
	to Class Certification, p. 3:6 and 3:8	to the University of Kentucky by an individual student-athlete.
		34466

	Citation	Compelling Reason(s) for Sealing
2.	ECF No. 335, Plaintiffs' Response	To protect highly confidential and
	to Defendants' Supplemental	competitively sensitive information
1	Motion for Leave to Submit	pertaining to the financial value of
	Additional Authority in Support of	confidential NIL agreements reported
	Defendants' Opposition to Class	to the University of Kentucky by an
	Certification, p. 3:18 and 3:20	individual student-athlete.

- 9. The University of Kentucky maintains the terms of the NIL agreements referenced above and reported to the University by its student-athletes as confidential. Not all employees of the University of Kentucky are permitted to access these documents or the agreement terms that they contain. The University of Kentucky also demands that recipients of those agreements or their terms treat the documents and information as confidential. Additionally, many of the agreements contain explicit confidentiality provisions prohibiting or limiting their public disclosure.
- 10. The Northern District of California has concluded that "compelling reasons" exist to seal confidential "license agreements, financial terms, [and] details of confidential licensing negotiations[.]" *Guzman v. Chipotle Mexican Grill, Inc.*, No. 17-cv-02606-HSG, 2020 WL 1171112, at *1 (N.D. Cal. Mar. 11, 2020) (citation and internal quotation marks omitted). For the same reasons, the agreements summarized above should remain sealed.
- 11. The document from which the information summarized above is derived also contains and reflects confidential personal information belonging to the University of Kentucky's student-athletes. Under the Family Educational Rights and Privacy Act, 20 U.S.C. § 1232g, the University of Kentucky is required to keep confidential personal information belonging to its students, and, subject to narrow exceptions, may not disclose such information to the public. Federal courts have concluded that FERPA obligations should be considered when determining whether to seal information in court filings. *See Rosenfeld v. Montgomery Cnty. Pub. Sch.*, 25 F. App'x 123, 132 (4th Cir. 2001). Courts in this district have sealed